

KEEL POINT CAPITAL, LLC. - Held NMS Stocks and Options Order Routing Public Report

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2nd Quarter, 2020

April 2020

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Orders as % of Non-Directed	Orders as % of Non-Directed Orders	Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	98.68	0.33	0.99	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)
National Financial Services LLC(NFSC)	51.32	52.35	0.00	0.00	0.00	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	36.84	36.24	100.00	60.00	0.00	0	0	0	0	0	0	0
Interactive Brokers LLC(IBKR)	8.55	8.72	0.00	0.00	0.00	0	0	0	0	0	0	0

The Chicago Board Options Stock Exchange(CBOE)	0.99	1.01	0.00	0.00	0.00	0	0	0	0	0	0	0
VIRTU Americas LLC(NITE)	0.99	1.01	0.00	0.00	0.00	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	0.66	0.67	0.00	0.00	0.00	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	0.66	0.00	0.00	40.00	0.00	0	0	0	0	0	0	0

Material Aspects:

April 2020

Non S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.59	0.20	0.20	0.00

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Material Aspects:

April 2020

Option Contracts

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	28.72	71.28	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)
Citadel Securities LLC(CDRG)	62.77	0.00	70.37	59.70	0.00	0	0	0	0	0	0	0
Cboe Options Exchange(CBOE)	22.34	0.00	18.52	23.88	0.00	0	0	0	0	0	0	0

Dash Financial Technologies LLC(DASH)	6.38	0.00	3.70	7.46	0.00	0	0	0	0	0	0	0
Citigroup Global Markets, Inc.(KEXP)	5.32	0.00	0.00	7.46	0.00	0	0	0	0	0	0	0
Morgan Stanley & Company LLC(MSCO)	1.06	0.00	3.70	0.00	0.00	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	1.06	0.00	3.70	0.00	0.00	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	1.06	0.00	0.00	1.49	0.00	0	0	0	0	0	0	0

Material Aspects:

May 2020

S&P 500 Stocks
Summary

	Market Orders as % of All Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
Non-Directed Orders				

100.00 98.35 0.83 0.83 0.00

Venues

																Net Payment Paid/Received
Venue - Non- directed Order Flow	Non- Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non- Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Receiv ed for Other Orders(USD)				
Citadel Securities LLC(CDRG)	100.00	100.00	100.00	100.00	0.00		0	0	0	0	0	0	0	0	0	0

Material Aspects:

May 2020

Non-S&P 500
Stocks
Summary

	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
Non-Directed Orders as % of All Orders	99.12	0.29	0.59	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)
Citadel Securities LLC(CDRG)	97.24	97.87	60.00	58.33	0.00	0	0	0	0	0	0	0
Instinet BlockCross(SSBS) NASDAQ Execution Services, LLC(NSDQ)	2.28	2.13	40.00	0.00	0.00	0	0	0	0	0	0	0
	0.48	0.00	0.00	41.67	0.00	0	0	0	0	0	0	0

Material Aspects:

May 2020

**Option Contracts
Summary**

	Market Orders as % of Non- Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non- Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non- Directed Orders
Non-Directed Orders as % of All Orders	100.00	23.33	76.67	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received	
											Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)
Citadel Securities LLC(CDRG)	73.33	0.00	71.43	73.91	0.00	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	18.33	0.00	14.29	19.57	0.00	0	0	0	0	0	0	0
Citigroup Global Markets, Inc.(KEXP)	8.33	0.00	14.29	6.52	0.00	0	0	0	0	0	0	0

Material Aspects:

June 2020

**S&P 500 Stocks
Summary**

	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	98.52	0.74	0.74	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received						
						Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)		
Citadel Securities LLC(CDRG)	63.97	65.41	0.00	0.00	0.00	0	0	0	0	0	0	0
The Chicago Board Options Stock Exchange(CBOE)	28.68	28.57	100.00	0.00	0.00	0	0	0	0	0	0	0
Citigroup Global Markets, Inc.(KEXP)	5.88	6.02	0.00	0.00	0.00	0	0	0	0	0	0	0

**NASDAQ
Execution
Services,
LLC(NSDQ)**

1.47

0.00

0.00

100.00

0.00

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Material Aspects:

June 2020

**Non-S&P 500
Stocks
Summary**

	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
Non-Directed Orders as % of All Orders	98.96	0.27	0.49	0.27

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)
Citadel Securities LLC(CDRG)	84.38	84.79	0.00	0.00	0.00	0	0	0	0	0	0	0
The Chicago Board Options Stock Exchange(CBOE)	13.51	13.08	100.00	100.00	100.00	0	0	0	0	0	0	0
Instinet BlockCross(SSBS)	2.11	2.12	0.00	0.00	0.00	0	0	0	0	0	0	0

Material Aspects:

June 2020

**Option Contracts
Summary**

	Market Orders as % of Non- Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non- Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non- Directed Orders
Non-Directed Orders as % of All Orders	100.00	19.64	80.36	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment	Net Payment	Net Payment	Net Payment	Net Payment	Net Payment
							Paid/Received for Market Orders(cents per hundred shares)	Paid/Received for Marketable Limit Orders(USD)	Paid/Received for Marketable Limit Orders(cents per hundred shares)	Paid/Received for Non-Marketable Limit Orders(USD)	Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	58.93	0.00	45.45	62.22	0.00	0	0	0	0	0	0	0
Cboe Options Exchange(CBOE)	28.57	0.00	45.45	24.44	0.00	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	8.93	0.00	9.09	8.89	0.00	0	0	0	0	0	0	0
Citigroup Global Markets, Inc.(KEXP)	3.57	0.00	0.00	4.44	0.00	0	0	0	0	0	0	0

Material Aspects:

**Net Payment
Paid/Received
for Other
Orders(cents
per hundred
shares)**

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**Net Payment
Paid/Received
for Other
Orders(cents
per hundred
shares)**

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**Net Payment
Paid/Received
for Other
Orders(cents
per hundred
shares)**

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**Net
Payment
Paid/Recei
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Other
Orders(ce
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